



09/17/04

1FW

CASE 4-32095B

FILING BY "EXPRESS MAIL" UNDER 37 CFR 1.10

EV54015698545

Express Mail Label Number

September 16, 2004

Date of Deposit

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

BOLD ET AL.

APPLICATION NO: 10/783,000

FILED: FEBRUARY 20, 2004

FOR: 7H-PYRROLO[2,3-D]PYRIMIDINE DERIVATIVES

MS: Amendment

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants believe this paper is being filed before the mailing date of a first Office Action on the merits, and so under 37 C.F.R. §1.97(b)(3) no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-0134.

In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

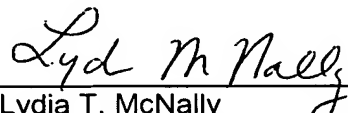
Copies of these references are enclosed herewith.

EP 682027A1 is substantially equivalent to U.S. Patents 5,686,457 and 6,096,749. WO 02/32872A1 is substantially equivalent to U.S. Patent Application US2004/0053908A1. Copies of these U.S. patents and U.S. Patent application publications pursuant to the waiver of requirement under 37 CFR 1.98(a)(2)(i) issued in the Official Gazette dated August 5, 2003, are not provided.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,

Novartis
Corporate Intellectual Property
One Health Plaza, Building 430
East Hanover, NJ 07936-1080
(862) 778-7898


Lydia T. McNally
Attorney for Applicants
Reg. No. 36,214

Date: *August 16, 2004*

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCKET NO.
4-32095B
APPLICATION NO.
10/783,000
APPLICANT
BOLD ET AL.
FILING DATE
FEBRUARY 20, 2004

Group



U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	AA	5,686,457	11/11/97	Traxler et al.			
	AB	6,096,749	8/1/00	Traxler et al.			
	AC	5,958,930	9/28/99	Gangjee			
	AD	5,877,178	3/2/99	Gangjee			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AM	98/07726	2/26/98	WO			<input type="checkbox"/>	<input type="checkbox"/>
	AN	97/02266	1/23/97	WO			<input type="checkbox"/>	<input type="checkbox"/>
—	AO	98/43973	10/8/98	WO (abstract only)			<input type="checkbox"/>	<input type="checkbox"/>
	AP	01/47507	7/5/01	WO			<input type="checkbox"/>	<input type="checkbox"/>
	AQ	99/65908	12/23/99	WO			<input type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

	AR	U.S. Patent Application No. US 2004/0053908A1, Pub. Date March 18, 2004
—	AS	Caravatti et al., "Pyrrolo[2,3-d]pyrimidine and Pyrazolo[3,4-d]pyrimidine Derivatives as Selective Inhibitors of the EGF Receptor Tyrosine Kinase," ACS Symposium series, 796, pp. 231-244 (2001)
	AT	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCKET NO.
4-32095B
APPLICATION NO.
10/783,000
APPLICANT
BOLD ET AL.
FILING DATE
FEBRUARY 20, 2004

Group

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	CA	0 795 556	9/17/97	EP			<input type="checkbox"/>	<input type="checkbox"/>
	CB	98/06703	2/19/98	WO			<input type="checkbox"/>	<input type="checkbox"/>
	CC	97/47601	12/18/97	WO (abstract only)			<input type="checkbox"/>	<input type="checkbox"/>
	CD	01/49688	7/12/01	WO			<input type="checkbox"/>	<input type="checkbox"/>
	CE	95/33750	12/14/95	WO			<input type="checkbox"/>	<input type="checkbox"/>
	CF	1 040 831	10/4/00	EP			<input type="checkbox"/>	<input type="checkbox"/>
	CG	99/65909	12/23/99	WO			<input type="checkbox"/>	<input type="checkbox"/>
	CH	01/53263	7/26/01	WO			<input type="checkbox"/>	<input type="checkbox"/>
	CI	01/23389	4/5/01	WO			<input type="checkbox"/>	<input type="checkbox"/>
	CJ						<input type="checkbox"/>	<input type="checkbox"/>
	CK						<input type="checkbox"/>	<input type="checkbox"/>
	CL						<input type="checkbox"/>	<input type="checkbox"/>
	CM						<input type="checkbox"/>	<input type="checkbox"/>
	CN						<input type="checkbox"/>	<input type="checkbox"/>
	CO						<input type="checkbox"/>	<input type="checkbox"/>
	CP						<input type="checkbox"/>	<input type="checkbox"/>
	CQ						<input type="checkbox"/>	<input type="checkbox"/>
	CR						<input type="checkbox"/>	<input type="checkbox"/>
	CS						<input type="checkbox"/>	<input type="checkbox"/>
	CT						<input type="checkbox"/>	<input type="checkbox"/>
	CU						<input type="checkbox"/>	<input type="checkbox"/>
	CV						<input type="checkbox"/>	<input type="checkbox"/>
	CW						<input type="checkbox"/>	<input type="checkbox"/>
	CX						<input type="checkbox"/>	<input type="checkbox"/>
	CY						<input type="checkbox"/>	<input type="checkbox"/>
	CZ						<input type="checkbox"/>	<input type="checkbox"/>

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.